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March 15, 2005

TO THE FOLLOWING

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Mail Stop AF Commissioner for Patents	(703) 872-9306		Alexandria, VA

FROM: Joseph G. Swan
DIRECT DIAL: (310) 312-3188
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ROOM: 610

PAGES: 4 (Including cover sheet)
CLIENT/MATTER: 35512-00000
DOCUMENT ID: 0786170.1
RE: In re Application of:
G. Michael Phillips, et al.
Application No.: 09/615,021
Filed: July 13, 2000
For: Sensitivity/Elasticity-Based
Asset Evaluation And Screening

MESSAGE

Please see attached.

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PTO/SB/31 (08-04)

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**NOTICE OF APPEAL FROM THE EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES**Docket Number (Optional)
35512-33**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37
CFR 1.8**I hereby certify that this paper is being transmitted by facsimile under
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(703) 872-9306On March 15, 2005

Signature

Typed or printed

name Kate Hewitt

In re Application of

G. Michael Phillips, et al.

Application Number

09/615,021

Filed

July 13, 2000

For Sensitivity/Elasticity-Based Asset Evaluation And
Screening

Art Unit

3624

Examiner

Subramanian, Narayanswamy

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 500.00☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced
by half, and the resulting fee is:\$ 250.00☐ A check in the amount of the fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
I have enclosed a duplicate copy of this sheet.☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment
to Deposit Account No. 13-3735. I have enclosed a duplicate copy of this sheet.☒ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.**WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.☐ assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96)☒ attorney or agent of record.
Registration number 41,338.☐ attorney or agent acting under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34. _____
SignatureJoseph G. Swan

Typed or printed name

(310) 312-2000

Telephone number

March 15, 2005

Date

**NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below*.**☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.8. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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